





PTO/SB/01 (03-01)
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DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)

Declaration
Submitted
with Initial
Filing

OR

Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

spone to a competion or inter-					
Attorney Docket Number		101831-200-NP			
First Named Inventor		John Ricciardi			
COMPLETE IF KNOWN					
Application Number	09/892,958				
Filing Date	June 27, 2001				
Group Art Unit	216	4			
Examiner Name			-		

•		required)	Examiner Hame			•	
As a below named inventor, I hereby declare that:							
	My residence, mailing address, as	nd citizenship are as stat	ed below next to my nan	ne.			
	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:						
	System and Method f	or Selecting An	Investment Item				
	the specification of which	(Title of t	he Invention)				
	is attached hereto						
	OR	00/07/0004			Novembra on DOT latera effect of		
	was filed on (MM/DD/YYYY)	06/27/2001	as United St	tates Application	Number or PCT International		
				f			
	Application Number 09/892,958	and was a	amended on (MM/DD/YY	YY)	(if applicable).		
	I hereby state that I have reviewe amended by any amendment spe			tified specification	n, including the claims, as		
	I acknowledge the duty to disclos in-part applications, material infor PCT international filing date of the	mation which became av	ailable between the filing		R 1.56, including for continuation- application and the national or		
	I hereby claim foreign priority ber or plant breeder's rights certificathan the United States of Ameri- patent, inventor's or plant breede application on which priority is cla	ca, listed below and haver's rights certificate(s), c	ve also identified below,	by checking the	box, any foreign application for	•	
	Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO		
_						_	
- 1	Additional foreign application	numbers are listed on a	supplemental priority da	ta sheet PTO/SR	I/02B attached hereto:		

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.







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DECLARATION — Utility or Design Patent Application

Direct all correspondence to:							
Address City Telephone Fax I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine of imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Signature x Date OU OCT (OI United Kingdom Citizenship Mailling Address #12 The Little Boltons City London State ZIP SW, 10-9LP Country United Kingdom Citizenship A petition has been filed for this unsigned inventor Family Name or Surname City London NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor Family Name or Surname City London State ZIP SW, 10-9LP Country United Kingdom Citizenship A petition has been filed for this unsigned inventor Civen Name (first and middle (if any)) Family Name or Surname Date City Country Citizenship Mailing Address City State Country Citizenship							
Address City Country Telephone Fax I hereby declare that all statements made herein of my own knowledge are true and that all statements and on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Given Name (first and middle [if any]) John Family Name or Surname Ricciardi United Kingdom Citizenship Mailing Address #12 The Little Boltons Live London State ZIP SW. 10-9LP Country United Kingdom Citizenship A petition has been filed for this unsigned inventor Family Name or Surname City London NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor Family Name or Surname Family Name or Surname City Name (first and middle [if any]) Inventor's Signature State Country Citizenship Mailing Address City State Country Citizenship City Mailing Address City State Country Citizenship	M						
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Additional inventors are being named on thesupplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.	City	State	z	ZIP	Country		
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